

Mechanisms Underlying the Protective Potential of α -Tocopherol (Vitamin E) against Haloperidol-associated Neurotoxicity

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The undesired side-effects of haloperidol treatment include a number of extrapyramidal side-effects which have been proposed to result from drug-induced damage to the basal ganglia. The drug also causes irregular movements and locomotor patterns in experimental animals. Here we show that haloperidol treatment in rats is associated with increases in the expression of p53 and the ratio of pro-apoptotic (Bax) to anti-apoptotic (Bcl-2/Bcl-x_L) proteins in the hippocampus and caudate putamen (CPu). In addition, haloperidol induces the DNA binding activity of the redox-sensitive nuclear factor- κ B (NF- κ B) and concomitantly upregulates the levels of the phosphorylated form of I κ B α protein in vivo. Similar responses are observed when a mouse hippocampal

cell line (HT-22) is treated with haloperidol and/or vitamin E. Interestingly, all of these biochemical effects of haloperidol are significantly attenuated when animals or cultured cells are pretreated with α -tocopherol (vitamin E). Consistent with this, vitamin E is demonstrated to substantially reduce the haloperidol-induced impairment of locomotor activity in rats. Collectively, the data indicate the usefulness of vitamin E as an adjunct to haloperidol treatment and provide initial clues about the underlying molecular mechanisms involved in these effects.

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Haloperidol, a typical member of the conventional neuroleptics, is thought to exert its clinical effect through striatal dopamine D2-receptors (Creese et al. 1976) and σ -receptors (Walker et al. 1990; Vilner et al. 1995). The

neuroleptic efficacy of haloperidol in psychotic patients is somewhat compromised by the drug's liability to cause acute and chronic extrapyramidal side-effects, including tardive dyskinesia (TD). Haloperidol and its metabolites also produce abnormal movements in animals, e.g. oral dyskinesia, which has been associated with morphological alterations in distinct brain regions, namely the striatum and the nucleus basalis of Meynert (Meshul and Tan 1994; Kelley et al. 1997; Halliday et al. 1999; for review see also: Andreassen and Jorgensen 2000). Oxidative stress, resulting from alterations of the mitochondrial electron transport chain, has been proposed as one possible pathogenic mechanism of neuroleptic-induced TD (Cadet et al. 1986; Cadet and Kahler 1994; Elkashef and Wyatt 1999; Casey 2000). Indeed, we and others recently showed that haloperidol-induced

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neuronal damage is, at least partly, accounted for by its stimulation of reactive oxygen species (ROS) formation and reductions in the intracellular concentrations of glutathione (Shivakumar and Ravindranath 1992, 1993; Behl et al. 1995; Sagara 1998; Post et al. 1998; Yokoyama et al. 1998). In addition, there is growing evidence for an increase in excitotoxic glutamate release relatively soon after the onset of haloperidol treatment (See and Chapman 1994; See and Lynch 1995; Hussain et al. 2001).

In view of the above, strategies to reduce the oxidative stress load, e.g. by co-administration of free radical scavengers such as α -tocopherol (vitamin E), would seem a reasonable approach to minimize the unwanted side-effects of haloperidol treatment. In previous *in vitro* studies we demonstrated the ability of vitamin E to counteract the cytotoxic effects of haloperidol (Post et al. 1998). However, a series of clinical studies in which the effects of vitamin E in patients with haloperidol-associated TD was investigated yielded an inconclusive picture with respect to efficacy of the anti-oxidant. For example, while beneficial effects of vitamin E were reported in a subgroup of patients with TD (for review see: Boomer-shine et al. 1999; Gupta et al. 1999), the results of a long-term study failed to provide such supporting evidence (Adler et al. 1999). It should be noted, however, that in all of the above-cited investigations, intervention with vitamin E was initiated *after* manifestation of the movement disorders. Interestingly, Gattaz et al. (1993) found that concomitant treatment with vitamin E counteracted haloperidol-induced hypersensitivity to apomorphine, and Takeuchi et al. (1998) showed that vitamin E co-administration suppresses chewing movements and buccal tremor in haloperidol-treated rats.

In the present study, we investigated some of the mechanisms that may underlie haloperidol-associated neuronal damage and the attenuation of this phenomenon by vitamin E (α -tocopherol). The studies were carried out in rats as well as in the hippocampal cell line HT-22 in order to permit extrapolations from one model to another. Specifically, we examined alterations in the DNA-binding activity of the redox-sensitive transcription factor NF- κ B and the phosphorylated state of its inhibitory partner I κ B α , as well as the expression levels of the major pro- (Bax) and anti-apoptotic (Bcl-2; Bcl-x_L) proteins after the administration of haloperidol and vitamin E alone or in combination. In the *in vivo* experiments, we focused these analyses on the hippocampus and the CPU; both these structures are involved in neuroleptic-induced behavior and structural changes as well as in the pathology of schizophrenia (Ramaekers et al. 1999; Harvey and Keefe 2001; Kuperberg and Heckers 2000). Further, we examined whether vitamin E pretreatment can attenuate haloperidol-induced anxiety and disturbances in locomotor behavior. Our results support a potential therapeutic role for vitamin E in

overcoming the negative effects of haloperidol treatment and provide evidence that apoptosis may underlie some of the undesired effects of haloperidol.

MATERIALS AND METHODS

Cell Culture

HT-22 cells, a kind gift from Dr. P. Maher (La Jolla, CA), were cultured in Dulbeccos's modified Eagle's medium (DMEM) supplemented with 10% fetal calf serum (FCS) according to a standard protocol (Maher and Davis 1996). Haloperidol for *in vitro* experiments was obtained from RBI Biochemicals (Biotrend, Köln, Germany). The α -tocopherol (vitamin E) was purchased from Sigma Chemicals (Deisenhofen, Germany). Generally, 10-cm dishes of HT-22 cells (1×10^6) were treated with haloperidol at the doses and for the times indicated. The drugs were prepared as 10 mM stock solutions in absolute ethanol. The amount of the solvent proved not to affect cell viability or other parameters tested in this study.

Animals

The animal studies were conducted in accordance with the Guide for the Care and Use of Laboratory Animals of the Government of Bavaria, Germany. Male Wistar rats (250 ± 10 g BW at the beginning of the study; $n = 27$) were housed in groups of 5–6 under standard laboratory conditions (12:12 h light/dark cycle with lights on at 7:00 A.M., $22 \pm 1^\circ\text{C}$, 60% humidity, pelleted food and water *ad libitum*).

Treatments

The animals ($n = 5$ –6 per group) were given one of four treatment conditions over a period of 14 or 7 days: (1) controls: intraperitoneal (i.p.) saline (0.5 ml; 14 days) + subcutaneous (s.c.) sesame oil (0.5 ml; 14 days); (2) haloperidol (HP) Janssen, Beerse, Belgium; 1 mg/kg, i.p., 7 days/sesame oil (s.c., 14 days); (3) saline (i.p., 14 days)/ α -tocopherol acetate (vitamin E dissolved in sesame oil, s.c., 14 days); (4) HP (Janssen; 1 mg/kg, i.p., 7 days)/ α -tocopherol (Sigma; dissolved in sesame oil, s.c., 14 days). HP was applied at a dose of 1mg/kg BW; this dose was based on previous studies in rats (Fischer et al. 1998; Schmitt et al. 1999), and was shown to result in serum levels of the drug which are comparable to those found in humans receiving the medication (Baldesarini et al. 1988; Volavka et al. 1995). α -Tocopherol did not affect significantly the cerebral (cerebellum) HP levels (HP-treated animals = 147 ± 17 ng/mg versus Vitamin/HP-treated animals = 128 ± 12 ng/mg) as measured by LCMS. Animals were pretreated for seven days with α -tocopherol acetate/sesame oil before being

co-administered haloperidol and α -tocopherol for a further seven days. The α -tocopherol dose was derived from those used in human clinical studies (Barak et al. 1998; Gupta et al. 1999; Boomersshine et al. 1999). As measured by HPLC, the levels of α -tocopherol in plasma and brain homogenates of vitamin E-treated animals were markedly increased at the time of sacrifice (day 15).

Behavioral Assessment

Behavioral testing was performed on the penultimate day of the experiment, i.e. seven days after commencement of haloperidol treatment. To avoid the confounding sedative and cataleptic actions of haloperidol, behavioral testing was carried out 3–4 h after the administration of haloperidol. Briefly, rats were placed for 5 min in an empty plexiglass cage (58 × 38 × 20 cm) on top of a grid and locomotor activity (number of line crossings) was assessed. The latency until an animal displayed immobility was noted as an additional indicator for general activity. Stretched attends (stretched body posture), representing risk assessment behavior in rats (cf. Cruz et al. 1994; Griebel et al. 1997) were scored as an indicator of anxiety. All behavioral observations were performed by trained and experienced observers.

Tissue Preparation

Animals were killed between 1 and 2 P.M., eight days after first exposure to haloperidol. At sacrifice, trunk blood and brains were collected. The hippocampus and caudate putamen (CPu) were carefully dissected out and immediately transferred to liquid nitrogen until the time of biochemical or histochemical analysis.

Western Blot Analysis

Cells treated in vitro were washed in PBS and lysates were prepared using standard methods. Rat tissues were processed according to previously-described procedures (Krajewski et al. 1995). For both, equal amounts of protein were resolved on a 8% SDS-polyacrylamide gel, before transfer to PVDF transfer membranes (Amersham, Braunschweig, Germany). Membranes were subsequently incubated with antibodies against Bax, Bcl-2, Bcl-x_L (Santa Cruz Biotechnology, Heidelberg, Germany), or p53 (Oncogene, Bad Soden, Germany); in all cases, membranes were also incubated with anti-actin (Chemicon, Hofheim, Germany). After overnight incubation at 4°C, blots were washed with TBST buffer before incubation with a horseradish peroxidase linked antibody (1 h, room temperature) and visualized by the ECL-detection kit (Amersham). Blot intensities were measured (arbitrary optical density units) using the

software TINA (v. 2.0), and values for the proteins of interest were normalized with respect to those obtained for actin.

TUNEL Staining

For this, HT-22 cells were grown on glass coverslips. The protocol followed was adapted from Gavrieli et al. (1992), as described in Post et al. (2000). Cryosections (20 μ m) from the hippocampus and CPu were also processed for TUNEL histochemistry, using a previously-published protocol (Hassan et al. 1996). TUNEL-stained cells and non-labeled healthy cells were counted in representative fields following strict morphological criteria (see Post et al. 2000), and an apoptotic index was derived from the ratio of TUNEL-positive to total number of cells in each field. Five readings were obtained for each treatment condition.

Electrophoretic Mobility Shift Assay (EMSA)

The DNA binding activity of NF- κ B was studied using EMSA as described previously (Post et al. 1998, 2000). Nuclear extracts were prepared by a mini-extraction protocol (Schreiber et al. 1989). For competition assay, 20-fold molar excess unlabeled NF- κ B oligonucleotide was added 45 min prior to addition of the labeled probe. The specificity of the complexes was further determined by supershift experiments using 10 μ g of the nuclear extracts and 1 μ l of anti-p65 antibody stocks (Santa Cruz Biotechnology, Heidelberg, Germany). DNA-protein complexes were analyzed by electrophoresis on 6% polyacrylamide gels. The binding activity of NF- κ B (arbitrary optical density units) was quantified by scanning the autoradiographies using the software TINA (v. 2.0).

Statistical Analysis

Data were analyzed by 1-way analysis of variance and appropriate post hoc tests. *P* values \leq .05 were considered significant.

RESULTS

Haloperidol Induces Apoptosis in HT-22 Cells

As shown in Figure 1, Panel A, treatment of HT-22 cells with haloperidol resulted in a significant increase in the number of cells undergoing apoptosis as judged by TUNEL staining. In a previous study (Post et al. 1998), HT-22 cell viability was also demonstrated to be compromised after exposure to haloperidol (at doses ranging between 1 and 100 μ M); the present data indicate that apoptotic pathways account for at least some of the cell death observed following treatment with

the neuroleptic. Significant changes in the number of TUNEL-positive cells were not detectable in the hippocampus and CPu of haloperidol-treated rats (data not shown).

Haloperidol Alters the Expression of Apoptosis-related Molecules in vitro and in vivo

Alterations in the protein levels of two major apoptosis-related molecules, Bax and Bcl-2, supported the view that apoptotic mechanisms, at least partly, account for the cytotoxic effects of haloperidol in HT-22 cells. As shown in Figure 1, Panel B, haloperidol-challenged HT-22 cells exhibited an increased Bax to Bcl-2 expression ratio as compared with non-treated cells. Further, haloperidol treatment led to a >2-fold increase in the expression of the tumor suppressor protein p53 (Figure 1, Panel B), a regulator of the *bax* and

bcl-2 genes (Miyashita et al. 1994; Miyashita and Reed 1995).

Data obtained on the expression profiles of pro- and anti-apoptotic molecules in the hippocampus and CPu of haloperidol-treated rats are illustrated in Figure 2, Panel A. Consistent with the in vitro observations described above, haloperidol significantly increased the ratio of Bax versus the anti-apoptotic protein Bcl- x_L . Likewise, haloperidol treatment led to a significant increase in the expression of p53 in both the hippocampus (3.8-fold) and CPu (3.5-fold) (Figure 2, Panel B). Collectively, the results indicate that haloperidol influences the expression of critical apoptosis-related molecules in the rat hippocampus and CPu, brain regions which are respectively associated with behavioral functions and the coordination of motor movements.

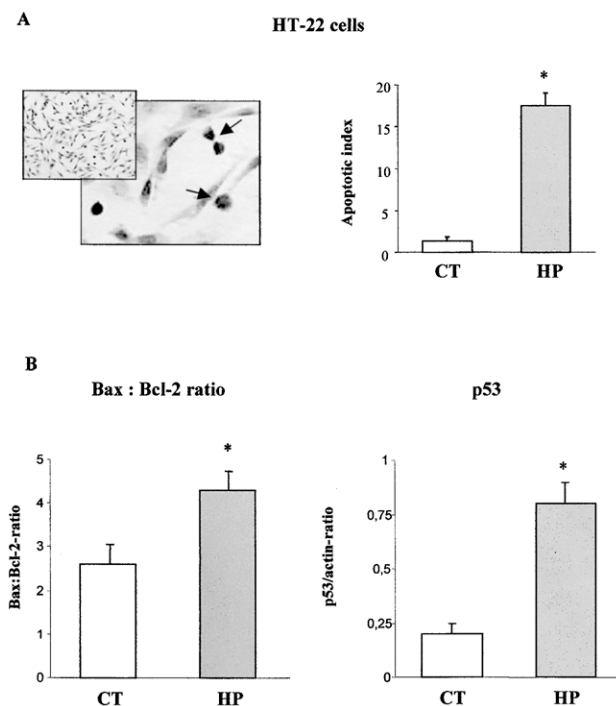


Figure 1. Panel A: DNA fragmentation in HT-22 cells after addition of haloperidol as detected by TUNEL staining. Panel A, left: Representative phase contrast micrographs ($\times 100$, $\times 200$) show the morphology of cells after exposure to 50 μ M haloperidol for 2h. Arrowheads indicate cells scored as apoptotic. Panel A, right: Apoptotic index (mean \pm SEM) derived from five fields per cell preparation in two independent experiments. * $p < .05$ vs. control. Panel B: Effects of haloperidol (HP) on cell death-related protein level in HT-22 cells. HP increases the Bax/Bcl-2 ratio and the p53 protein levels in HT-22 cells. Cells were treated with 50 μ M of HP for 20 h and 20 μ g protein extracts were subjected to Western blot analysis. The data (four independent experiments) are shown as a ratio (\pm SEM) to actin protein values, * $p < .05$.

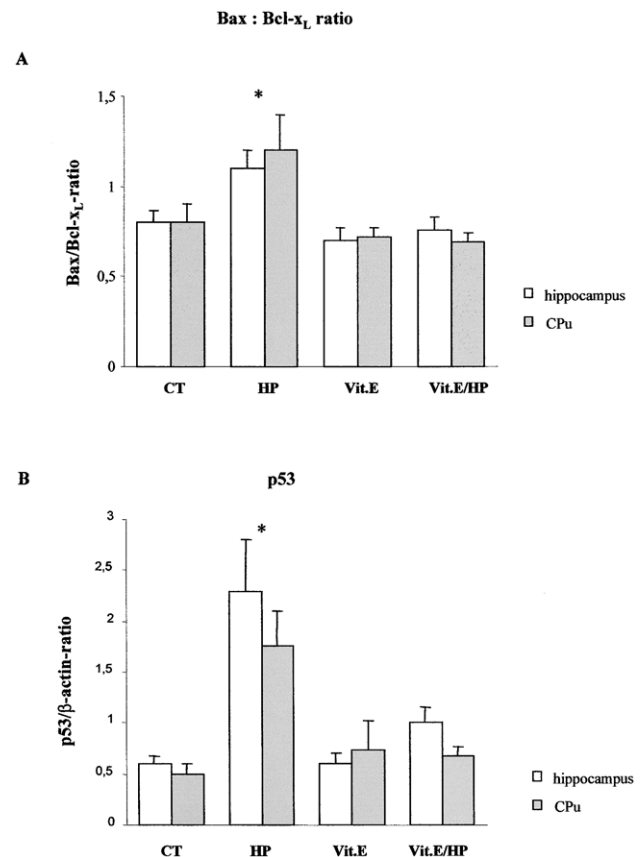


Figure 2. Effects of haloperidol (HP) and vitamin E/HP on cell death-related protein level in vivo. HP increases the Bax/Bcl- x_L ratio (Panel A) and the p53 protein (Panel B) levels in the hippocampus and the caudate putamen (CPu). Rats were treated with HP (1 mg/kg body weight per day) and the levels for Bax, Bcl- x_L and p53 proteins in the hippocampus and CPu were determined by Western blot analysis (30 μ g protein). The data are expressed as mean \pm SEM ($n = 5$ animals per group), * $p < .05$ between HP and control group. In all cases, semi-quantitative values of protein expression levels are normalized relative to actin staining.

Haloperidol Increases the DNA-binding Activity of NF- κ B and Upregulates the Phosphorylated Form of I κ B α in Hippocampus and CPu

Previous studies provided evidence that haloperidol (and/or its metabolic derivatives) can lead to detrimental changes in the redox potential of HT-22 cells, accompanied by an increase in intracellular peroxides and decrease in glutathione levels. Importantly, this treatment also resulted in a dramatic induction of the DNA-binding and transcriptional activity of the redox-sensitive transcription factor NF- κ B (Post et al. 1998). We therefore examined whether exposure to haloperidol can lead to a similar induction of NF- κ B activity in vivo. The activity of NF- κ B was monitored by EMSA, using nuclear extracts from different regions of the brain and a radiolabeled double-stranded oligonucleotide containing the NF- κ B consensus sequence. As shown in Figure 3, Panel A, haloperidol significantly increased the DNA-binding activity of NF- κ B of this transcription factor in the rat hippocampus and CPu. The specificity of the induced complex was confirmed by supershift experiments using an antibody against the p65 component of NF- κ B (Figure 3, Panel A, right).

To further investigate the mechanism by which haloperidol influences NF- κ B activation we examined the phosphorylation state and protein levels of I κ B α , the endogenous inhibitor of NF- κ B activation. Phosphorylation of I κ B α leads to its ubiquitination and subsequent degradation, resulting in the nuclear translocation of NF- κ B and its activation of transcription. Western blot analysis revealed that haloperidol significantly upregulates the levels of the Ser32-phosphorylated I κ B α levels in both the hippocampus (2.8-fold) and the CPu (1.8-fold) (Figure 3, Panel B), in keeping with the above findings on NF- κ B.

Vitamin E (α -tocopherol) Abolishes the Effects of Haloperidol on the Expression of Apoptosis-related Molecules and Activation of NF- κ B

In agreement with our previous findings (Post et al. 1998), pre-treatment with the anti-oxidant α -tocopherol (vitamin E) markedly attenuated the neurotoxic effects of haloperidol on HT-22 cells (data not shown). In keeping with these in vitro findings, we observed that vitamin E abolished the haloperidol-induced increases in the levels of p53 and Bax:Bcl-x_L ratios in hippocampus and CPu; vitamin E on its own did not alter these parameters (Figure 2).

Similarly, administration of vitamin E significantly reduced the haloperidol-mediated increase in the DNA-binding activity of NF- κ B as well as the phosphorylation state of I κ B α (Figure 3) in both the hippocampus and CPu.

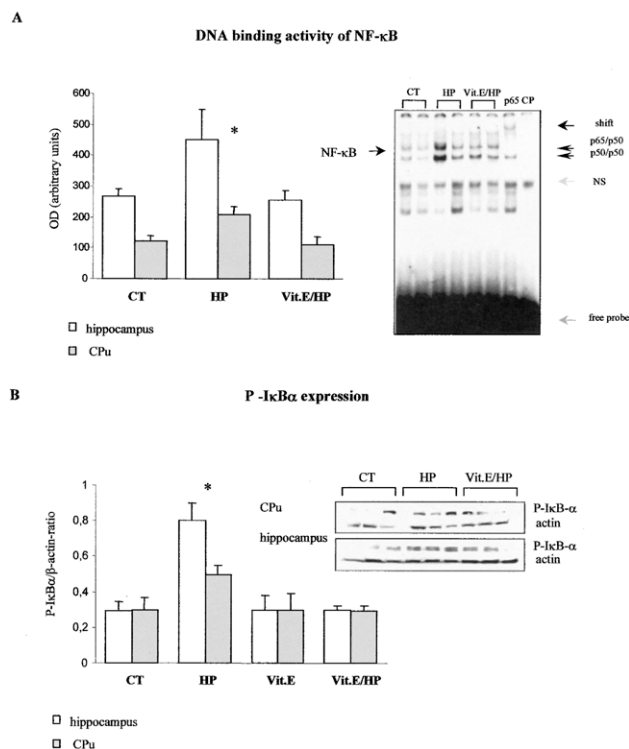


Figure 3. Panel A. Evaluation of the DNA binding activity of NF- κ B by EMSA. Nuclear extracts (10 μ g) from hippocampus and CPu of control (CT)-, haloperidol (HP)- and vitamin E/haloperidol (Vit.E/HP)-treated rats were assayed for binding to an oligonucleotide containing the NF- κ B consensus sequence. A representative analysis of the hippocampus is shown. Supershift experiments with anti-p65 demonstrate that the low mobility protein complex interacting with the NF- κ B oligonucleotide contains the p65 subunit (lane 7). For competition (CP), an excess of unlabeled oligonucleotide was used (lane 8). The position of the specific and non-specific complexes is indicated by black and gray arrowheads, respectively. On the left panel, a semi-quantitative analysis of data from five animals is shown, * $p < .05$ between HP and Vit.E/HP group. Panel B. Levels of the phosphorylated form of I κ B α . Extracts (30 μ g protein) from hippocampus and CPu of rats treated as above were subjected to western blot analysis using antibodies against the Ser32-phosphorylated form of I κ B α . A semi-quantitative analysis of data from five animals, * $p < .05$ between HP and Vit.E/HP group is shown in the graph. On the right panel, Western blots of three animals per treatment group are shown as indicated.

Vitamin E Abolishes the Haloperidol-induced Reduction of Locomotor Activity

Haloperidol treatment in rats has been previously reported to result in reduced locomotor activity and an increase in anxiety-related behavior (Fischer et al. 1998; Grimm et al. 1998; Nowakowska et al. 1999; for review see also Andreassen and Jorgensen 2000). Here, animals receiving the combined vitamin E/haloperidol regimen dis-

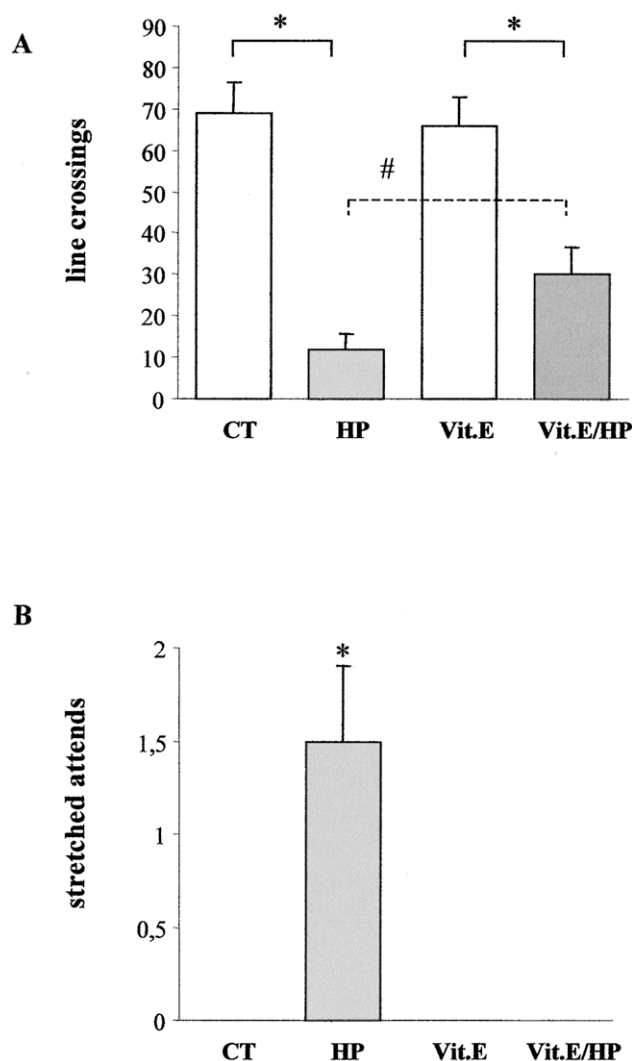


Figure 4. Behavioral parameters assessed in a novel environment under control (CT), haloperidol (HP), vitamin E (Vit.E), and vitamin E plus HP (Vit. E/HP) treatment conditions after seven days. Rats ($n = 5$ per group) received haloperidol (1mg/kg BW/day) or haloperidol + vitamin E (100 IU/day). Number of line crossings, serving as an index of motor activity (Panel A), # $p = .054$, * $p < .05$; and stretched attends, serving as a measure of risk assessment (Panel B), are shown. * $p < .05$.

played a higher number of line crossings compared with the animals treated with haloperidol alone (borderline significance, $p = .054$) when transferred to a novel environment (Figure 4, Panel A). These observations were matched by greater latency of immobility in the rats receiving vitamin E and haloperidol ($30.8 \text{ s} \pm 12$ per 5 min vs. $55.5 \text{ s} \pm 14$ per 5 min). Further, as compared with animals exposed to haloperidol alone, those receiving the combination of haloperidol and vitamin E displayed no 'stretched attends', i.e. they displayed less anxiety (cf. Cruz et al. 1994; Griebel et al. 1997) (Figure 4, Panel B).

DISCUSSION

One purpose of this study was to investigate, in vivo, the molecular basis for the extrapyramidal side effects (e.g. tardive dyskinesia) associated with neuroleptic treatment. Another aim was to attempt to understand the mechanisms by which antioxidants, such as α -tocopherol (vitamin E), might counteract these adverse effects. Previous studies have convincingly shown that neuroleptics can increase both the release of the excitotoxic transmitter glutamate (See and Chapman 1994; See and Lynch 1995; Hussain et al. 2001) and oxidative stress (Cadet et al. 1986; Tsai et al. 1998; Elkashef and Wyatt 1999; Casey 2000); both glutamate and oxidative stress are well known to precipitate neuronal damage or death (Halliwell 1992; Coyle and Puttfarcken 1993; Olanow 1993). Indeed, earlier studies from our own and other laboratories have demonstrated that exposure of neural cells to haloperidol in vitro results in increased free radical production and cell death (Behl et al. 1995; Post et al. 1998; Sagara 1998). Evidence that such mechanisms may also apply in vivo includes the observations that haloperidol treatment of rats leads to increased peroxide generation and lipid peroxidation in the striatum, and, concomitantly, reductions in brain levels of glutathione (Shivakumar and Ravindranath 1992, 1993; Yokoyama et al. 1998). Further, patients with neuroleptic-induced TD display signs of increased oxidative stress (elevated lipid peroxidation products and decreased superoxide dismutase activity) in the CSF (Lohr et al. 1988; Nagesh Pai et al. 1994; Brown et al. 1998; Tsai et al. 1998; for review see also Cadet and Kahler 1994). The implied role of free radicals in the neuropathology of TD has made the idea of attenuating the impact of free radicals an attractive proposition. Several studies, albeit with conflicting outcomes, have attempted to exploit the anti-oxidant properties of vitamin E to this end (Barak et al. 1998; Boomershine et al. 1999; Adler et al. 1999; Gupta et al. 1999). However, the outcomes of those studies have been inconclusive and, further, the biological basis for such therapy has not been adequately provided by the studies published to date.

Biochemical and Morphological Manifestations of Haloperidol Treatment

In this paper we show that haloperidol induces molecular events in the hippocampus and caudate putamen (CPu) of rats which closely resemble those we previously observed in haloperidol-treated HT-22 cells (Post et al. 1998). The latter in vitro observations were confirmed in the present work. The biochemical changes included haloperidol-induced increases in the DNA-binding activity of the redox-sensitive transcription factor NF- κ B and an increase in the phosphorylation state and protein levels of I κ B α . It is useful to recall that typically, NF- κ B is sequestered in the cytoplasm as homo-

and heterodimers (Sen and Baltimore 1986), and its activation is strictly controlled by an endogenous inhibitor, I κ B (Baldwin 1996). Upon phosphorylation, I κ B dissociates from NF- κ B, allowing translocation of NF- κ B to the nucleus where it binds at specific NF- κ B-responsive elements in regulatory DNA sequences of target genes. Earlier studies, including our own with haloperidol, demonstrated increases in the DNA-binding and transcriptional activity of NF- κ B to be associated with decreased cell viability (Grilli et al. 1996; Lezoualc'h et al. 2000; Lipton 1997; Post et al. 1998, 2000). Further, we previously showed that overexpression of a mutated form of I κ B α results in protection against haloperidol-induced neurotoxicity in HT-22 cells (Post et al. 1998). The present work shows that apoptosis, at least partly, accounts for the cell death seen after exposure of HT-22 cells to haloperidol. Apoptosis-inducing properties of haloperidol have also been described recently in primary neuronal cells (Galili-Mosberg et al. 2000; Noh et al. 2000).

In comparison to studies on cell culture models (Behl et al. 1995; Post et al. 1998; Sagara 1998; Galili-Mosberg et al. 2000; Noh et al. 2000), there is a paucity of data to support the view that haloperidol treatment results in neuronal cell death in vivo. Notably, however, histopathologic changes have been reported after chronic administration (weeks to months) of haloperidol to experimental animals (Meshul et al. 1994, 1996; Andreassen et al. 1998; for review see Miller and Chouinard 1993; Andreassen and Jorgensen 2000), although inconsistent reports for both animals and humans also exist (Christiansen et al. 1970; Kung et al. 1998; Eyles et al. 2000). Interestingly, also, a recent study provided morphological evidence that haloperidol treatment leads to increased excitotoxic (glutamergic) activity in the striatum (Andreassen et al. 2001). Further, insofar that changes in neurotrophin expression may serve as indices of neuronal damage and repair, haloperidol was shown to decrease levels of brain-derived neurotrophic factor and nerve growth factor in the rat hippocampus and striatum (Angelucci et al. 2000; Dawson et al. 2001). In the in vivo part of the present study, despite the above-mentioned alterations in NF- κ B activity, TUNEL histochemistry failed to reveal apoptotic cells in either the hippocampus or CPu of haloperidol-treated rats. Several reasons might be proposed for the absence of apoptotic cells, e.g.: apoptotic cells are usually rapidly cleared away by macrophages; only a small sub-population of neurons might be affected, thus evading detection; or the duration of treatment (one week vs. several weeks to months in most previously published in vivo studies) (Andreassen et al. 2001; Angelucci et al. 2000; Dawson et al. 2001) may have been too short to permit visualization of apoptotic cells.

Importantly, despite our failure to visualize cells undergoing apoptosis, our analysis of key pro- and

anti-apoptotic molecules strongly suggests that haloperidol can turn on the apoptotic machinery in cells of the hippocampus and the CPu. Specifically, we found that haloperidol increases the ratio between the pro-apoptotic protein Bax and the anti-apoptotic protein Bcl- x_L in vivo (Bcl-2 was undetectable in the samples obtained from the in vivo experiments, in keeping with our previous findings in adult rats—see Almeida et al. (2000)); the ratio between Bax and the anti-apoptotic Bcl-2 was similarly altered in HT-22 cells exposed to the neuroleptic. Indeed, it is pertinent to mention that previous work, in which *bcl-2* was overexpressed in HT-22 cells, demonstrated a protective role for Bcl-2 against haloperidol toxicity (Lezoualc'h et al. 1996).

The link between these downstream events and those occurring earlier may be provided by p53 which has been shown to mediate oxidative stress-induced apoptosis in a Bcl-2-antagonizable fashion (Li et al. 1999). Also, there is evidence that p53 can directly inhibit Bcl-2 expression (Miyashita et al. 1994; Fulci and Van Meir 1999) while activating *bax* (Miyashita and Reed 1995). The apoptotic actions of p53 (Fuchs et al. 1998; Fulci and Van Meir 1999), reportedly involve interactions with other transcription factors, including NF- κ B (Wu and Luzano 1994; Grilli and Memo 1999; Webster and Perkins 1999; Ryan et al. 2000), and there is evidence that NF- κ B can upregulate p53 in the striatum (Qin et al. 1999). Data obtained in the present investigation show that levels of p53 are upregulated by haloperidol in the rat hippocampus and the CPu. Collectively, the obtained data on NF- κ B activation, p53, and pro- and anti-apoptotic members of the Bcl-2 family indicate that haloperidol can initiate a cascade of molecular events which would be predicted to result in cell death. In order to resolve this apparent discrepancy between the biochemical and morphological observations, we recently initiated a study in which haloperidol will be administered chronically over several months; at the end of the study, brains will be systematically analyzed for neurodegeneration (including apoptosis) and total cell numbers in relevant brain areas will be assessed using stereological tools.

Prophylactic Properties of Vitamin E Demonstrated at the Molecular Level

The rationale behind attempts to counteract the adverse effects of haloperidol using anti-oxidants such as α -tocopherol (vitamin E) have been discussed in the introduction. As mentioned earlier, clinical studies yielded equivocal results and further, a cellular and molecular basis for the efficacy of vitamin E as a protective agent has been lacking hitherto. Interestingly, pre- and concomitant administration of vitamin E was here shown to reverse all of the biochemical changes associated

with haloperidol treatment. Specifically, vitamin E pre- and co-treatment significantly attenuated the induction of DNA-binding of the redox-sensitive factor NF- κ B and upregulation of p53 levels by haloperidol. Further, vitamin E pre- and co-treatment was shown to counteract the effects of haloperidol on members of the Bcl-2 family of molecules; specifically, in the presence of vitamin E, the cell death/survival molecule expression ratios are such that cell survival is ensured (Oltvai et al. 1993; Yin et al. 1994; Sedlak et al. 1995; Almeida et al. 2000). The signal transduction pathways underlying the interaction of oxidative stress-induced cell death and vitamin E (and analogs) function are currently the subject of active research (cf. Brigerius-Flohé and Traber 1999; Park et al. 2000; Sen et al. 2000). The available data indicate that the downstream molecules and pathways include NF- κ B, p38 and c-Jun-NH₂-terminal protein kinase (JNK) and p53. These various components are intricately inter-connected (Suzuki and Packer 1993; Wu and Luzano 1994; Fuchs et al. 1998; Grilli and Memo 1999; Webster and Perkins 1999; Mielke and Herdegen 2000; Noh et al. 2000; Ryan et al. 2000; Tournier et al. 2000) and ultimately converge to determine cell survival by influencing relative levels of the pro- and anti-apoptotic Bcl-2-related proteins (Merry and Korsmeyer 1997). Thus, despite our present lack of evidence for haloperidol-induced apoptosis and its prevention by vitamin E in vivo, the data showing stimulation and inhibition of apoptotic and anti-apoptotic molecular cascades by haloperidol and vitamin E, respectively, strongly indicate that haloperidol treatment can lead to apoptosis in the hippocampus and CPu in a vitamin E-antagonizable fashion. Indeed, the presently-reported in vitro observations on HT-22 cells support this conclusion.

Vitamin E Attenuates Some Adverse Behavioral Effects Associated with Haloperidol Treatment

As compared with risperidone and clozapine, typical neuroleptic drugs, such as haloperidol, induce anxiety-related behavior in rats (Fischer et al. 1998; Singh et al. 1997; Nowakowska et al. 1999) and dysphoria and anxiety in humans (Belmaker and Wald 1977; King et al. 1995; Ramaekers et al. 1999). In addition, haloperidol and its related drugs produce other undesired effects in humans, including impairments of locomotor abilities and abnormal orofacial movements (for review see: Marsden and Jenner 1980; Ellenbroek 1993); equivalent changes, in addition to memory deficits, have also been observed in rats (Egan et al. 1996; Grimm et al. 1998; Nowakowska et al. 1999; for review: Waddington 1990; Andreassen and Jorgensen 2000). All of these effects have been postulated to result in part from neuronal damage occurring as a result of increased generation of reactive oxygen species (Voigtlander et al. 1990; Shivaku-

mar and Ravindranath 1993; Nagesh Pai et al. 1994; Post et al. 1998; Sagara 1998; Yokoyama et al. 1998; Tsai et al. 1998; Elkashef and Wyatt 1999; Noh et al. 2000). In view of the above molecular data linking haloperidol treatment with neuronal cell death, as well as our findings that the biochemical actions of haloperidol can be largely reversed by vitamin E, it was of interest to know whether at least some of its untoward behavioral effects could also be attenuated by vitamin E treatment. Interestingly, we observed that vitamin E significantly attenuates haloperidol-induced impairments in locomotor activity and increases in anxiety-like behavior.

CONCLUSIONS

The results from the in vivo studies reported here provide the first demonstration of a link between haloperidol and oxidative stress, NF- κ B activation, upregulation of p53 and Bax. These changes, together with the herein reported observations of haloperidol-induced adverse behaviors, strongly suggest that haloperidol treatment may cause neuronal damage in limbic structures and parts of the basal ganglia. Furthermore, the present study shows that pre- and co-treatment with vitamin E interferes with the stimulation of apoptotic cascades by haloperidol and, in addition, attenuates some of the undesirable behavioral side-effects of the neuroleptic. In providing some of the first information on the molecular targets of haloperidol and vitamin E, our results demonstrate a convincing biochemical basis for employing antioxidants such as vitamin E as prophylactics/protectants against the untoward effects of typical neuroleptics such as haloperidol.

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